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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Leonard Forbes et al.

Examiner: Rahll, Jerry

Patent No.: 6,898,362

Group Art Unit: 2874

Issue Date: May 24, 2005

Docket No: 1303.034US1

Title: THREE-DIMENSIONAL PHOTONIC CRYSTAL WAVEGUIDE STRUCTURE AND METHOD

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Certificate  
JUN 17 2005  
of Correction

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- ☒ Request for Certificate of Correction.
- ☒ Certificate of Correction Form - PTO-1050 (in duplicate)
- ☒ A return postcard.

**Please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.**

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
Customer No: 21186

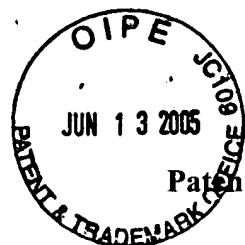
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Name: Viet V. Tong  
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Mya Schild  
Name

Mya Schild  
Signature

JUN 21 2005



Patent 6,898,362

PATENT

IN UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 6,898,362

Docket No: 1303.034US1

Issue Date: May 24, 2005

Patentee: Leonard Forbes et al.

Customer No.: 21186

Confirmation No.: 3317

Title THREE-DIMENSIONAL PHOTONIC CRYSTAL WAVEGUIDE STRUCTURE  
AND METHOD

REQUEST FOR CERTIFICATE OF CORRECTION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

It is requested that a Certificate of Correction be issued correcting printing errors appearing in the above-identified United States patent. Two copies of the text of the Certificate in the suggested form are enclosed.

Issuance of the Certificate of Correction would neither expand nor contract the scope of the claims as properly allowed, and re-examination is not required.

**As the error is that of the Patent Office, it is believed that no fee is due.**

The Examiner is authorized to charge any additional fees or credit overpayment to Deposit Account No.19-0743.

Respectfully Submitted

LEONARD FORBES ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6900

Date: 9 June 2005

By: 

Viet V. Tong  
Reg. No: 45,416  
VVT:mds

**CERTIFICATE UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on this 9 day of June 2005

Name

Mya Schick

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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 6,898,362

Page (1) of 2

DATED : May 24, 2005

INVENTOR(S) : Forbes et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On page 2, in field (56), under "Other Publications", in column 2, line 14, delete "Condensed" and insert - - Condensed - -, therefor.

On page 2, in field (56), under "Other Publications", in column 2, line 15, delete "5751-9" and insert - - 5751-8 - -, therefor.

On page 2, in field (56), under "Other Publications", in column 2, line 18, delete "443" and insert - - 433 - -, therefor.

On page 2, in field (56), under "Other Publications", in column 2, line 29, delete "Materails" and insert - - Materials - -, therefor.

On page 3, in field (56), under "Other Publications", in column 1, line 10, delete "Substrates" and insert - - Substrate - -, therefor.

On page 3, in field (56), under "Other Publications", in column 1, lines 57 - 58, delete "heterjunction" and insert - - heterojunction - -, therefor.

On page 3, in field (56), under "Other Publications", in column 2, line 17, delete "Angels" and insert - - Angles - -, therefor.

On page 3, in field (56), under "Other Publications", in column 2, line 29, delete "30(20)" and insert - - 30(2) - -, therefor.


MAILING ADDRESS OF SENDER:

SCHWEGMAN, LUNDBERG, WOESSNER, & KLUTH, P.A.  
P.O. BOX 2938  
Minneapolis, MN 55402

Atty Docket No: 1303.034US1

PATENT NO. 6,898,362

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On page 3, in field (56), under "Other Publications", in column 2, line 48, delete "Conference" and insert - - Conference - -, therefor.

On page 4, in field (56), under "Other Publications", in column 1, line 1, delete "instrinsic" and insert - - intrinsic - -, therefor.

On page 4, in field (56), under "Other Publications", in column 1, line 8, delete "Thremal" and insert - - Thermal - -, therefor.

On page 4, in field (56), under "Other Publications", in column 1, line 18, delete "thining" and insert - - thinning - -, therefor.

On page 4, in field (56), under "Other Publications", in column 1, line 24, delete "su-micron" and insert - - sub-micron - -, therefor.

In column 7, line 66, delete " $L_1 = (Npk) a_0$ " and insert - -  $L_1 = (N) a_0$  - -, therefor.

In column 11, line 33, in Claim 6, delete "3-D" and insert - - 3D - -, therefor.

In column 12, line 44, in Claim 23, after "channel" delete "," and insert - - ; - -, therefor.

In column 14, line 17, in Claim 44, delete "The" and insert - - A - -, therefor.

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